

# Models of Computation

## 1 Operational Semantics of Expressions

### 1.1 Big-step Semantics

Big-step, or *natural*, operational semantics ignores the intermediate steps and gives the result immediately.

**Deteminacy** An expression only evaluates to one value.  $\forall E, n_1, n_2. [E \Downarrow n_1 \wedge E \Downarrow n_2 \rightarrow n_1 = n_2]$

**Totallity** Every expression evaluates to some value.  $\forall E. \exists n. [E \Downarrow n]$

#### 1.1.1 Example: definition of B-ADD

$$(B-ADD) \frac{E_1 \Downarrow n_1 \quad E_2 \Downarrow n_2}{E_1 + E_2 \Downarrow n_3} \quad n_3 = n_1 + n_2$$

where B-ADD is a label for human reference.

It means that if  $E_1$  evaluates to  $n_1$ , and  $E_2$  evaluates to  $n_2$ , then  $E_1 + E_2$  evaluates to  $n_3$ . So we have  $n_3 = n_1 + n_2$ .

#### 1.1.2 Example: derivation tree

$$(B-ADD) \frac{(B-ADD) \frac{(B-NUM) \frac{4 \Downarrow 4}{(4+1) \Downarrow 5} \quad (B-NUM) \frac{1 \Downarrow 1}{1 \Downarrow 1}}{(4+1) \downarrow 5} \quad (B-ADD) \frac{(B-NUM) \frac{2 \Downarrow 2}{(2+2) \Downarrow 4} \quad (B-NUM) \frac{2 \Downarrow 2}{2 \Downarrow 2}}{(2+2) \downarrow 4}}{((4+1) + (2+2)) \Downarrow 9}$$

### 1.2 Small-step Semantics

Small-step, or *structural*, operational semantics gives a method for evaluating an expression step-by-step.

**Deteminacy**  $\forall E, E_1, E_2. [E \rightarrow E_1 \wedge E \rightarrow E_2 \rightarrow E_1 = E_2]$ .

**Confluence** For all  $E, E_1, E_2$ , if  $E \rightarrow^* E_1$  and  $E \rightarrow^* E_2$ , then there exists  $E'$  such that  $E_1 \rightarrow^* E'$  and  $E_2 \rightarrow^* E'$ .

**Weak Normalisation** For any expression  $E_1$ , there exists a *finite* sequence of expressions  $E_2, \dots, E_k$  such that,  $E_k$  is in normal form, and for all  $i \in [1..k]. E_i \rightarrow E_{i+1}$ . (Every expression finally executes to an expression in normal form)

**Strong Normalisation** There are no infinite sequences of expressions  $E_1, E_2, E_3, \dots$  such that, for all  $i, E_i \rightarrow E_{i+1}$ . (Every execution chain terminates)

**Unique Normal Form** For all  $E, n_1, n_2$ , if  $E \rightarrow^* n_1$  and  $E \rightarrow^* n_2$  then  $n_1 = n_2$ .

Strong Normalisation implies weak normalisation.

**Theorem** For all  $E$  and  $n, E \Downarrow n$  iff  $E \rightarrow^* n$ .

### 1.2.1 Examples

$$(S\text{-LEFT}) \frac{E_1 \rightarrow E'_1}{E_1 + E_2 \rightarrow E'_1 + E_2}$$

$$(S\text{-RIGHT}) \frac{E_1 \rightarrow E'}{n + E \rightarrow n + E'}$$

$$(S\text{-ADD}) \frac{}{n_1 + n_2 \rightarrow n_3} n_3 = n_1 + n_2$$

S-LEFT defines a way to ‘simplify’ the left operand of the ADD expression.

S-RIGHT does that for the operand on the right-hand-side.

S-ADD defines how to finally evaluate the simplified ADD expression, as all expressions evaluates to a number in this example language.

## 1.3 Many Steps of Evaluation

$E \rightarrow^* E'$  holds iff either  $E = E'$  or there is a finite sequence

$$E \rightarrow E_1 \rightarrow E_2 \rightarrow \dots \rightarrow E_k \rightarrow E'$$

The relation  $\rightarrow^*$  is called the **reflexive transitive closure** of  $\rightarrow$ .

Number  $n$  is the final answer of  $E$  if  $E \rightarrow^* n$ .

## 1.4 Normal Form

**Normal Form** An expression  $E$  is in **normal form** (and said to be **irreducible**) if there is no  $E'$  such that  $E \rightarrow E'$ .

**Theorem** The normal forms of the expressions are the numbers.

## 2 Operational Semantics of Commands

### 2.1 States

**state** is a partial function from variables to numbers such that  $s(x)$  is defined for finitely many  $x$ .

$s[x \mapsto 7]$  means a new state overriding value of  $x$  to 7, and inheriting other values from  $s$ .

Example:

$$s = (x \mapsto 4, y \mapsto 5, z \mapsto 6)$$

describes a partial function where variable  $x$  has value 4,  $y$  has value 5,  $z$  has value 6.

$$s[x \mapsto 7](x) = 7$$

$$s[y \mapsto 10](y) = 10$$

$$s[v \mapsto 17](v) = 17$$

$$s[v \mapsto 17](y) = 5$$

### 2.2 Semantics of The Example Language

#### 2.2.1 Expressions

$$(W\text{-EXP.LEFT}) \frac{\langle E_1, s \rangle \rightarrow_e \langle E'_1, s' \rangle}{\langle E_1 + E_2, s \rangle \rightarrow_e \langle E'_1 + E'_2, s' \rangle}$$

$$(W\text{-EXP.RIGHT}) \frac{\langle E, s \rangle \rightarrow_e \langle E', s' \rangle}{\langle n + E, s \rangle \rightarrow_e \langle n + E', s' \rangle}$$

$$(W\text{-EXP.VAR}) \frac{}{\langle x, s \rangle \rightarrow_e \langle n, s \rangle} s(x) = n$$

$$(W\text{-EXP.ADD}) \frac{}{\langle n_1 + n_2, s \rangle \rightarrow_e \langle n_3, s \rangle} n_3 = n_1 + n_2$$

#### 2.2.2 Assignments

$$(W\text{-ASS.EXP}) \frac{\langle E, s \rangle \rightarrow_c \langle E', s' \rangle}{\langle x := E, s \rangle \rightarrow_c \langle x := E', s' \rangle}$$

$$(W\text{-ASS.NUM}) \frac{}{\langle x := n, s \rangle \rightarrow_c \langle \text{skip}, s[x \mapsto n] \rangle}$$

### 2.2.3 Sequential Composition

$$\begin{aligned}
& \text{(W-SEQ.LEFT)} \frac{\langle C_1, s \rangle \rightarrow_c \langle C'_1, s' \rangle}{\langle C_1; C_2, s \rangle \rightarrow_c \langle C'_1; C_2, s' \rangle} \\
& \text{(W-SEQ.SKIP)} \frac{}{\langle \text{skip}; C_2, s \rangle \rightarrow_c \langle C_2, s \rangle}
\end{aligned}$$

### 2.2.4 Conditional

$$\begin{aligned}
& \text{(W-COND.TRUE)} \frac{}{\langle \text{if true then } C_1 \text{ else } C_2, s \rangle \rightarrow_c \langle C_1, s \rangle} \\
& \text{(W-COND.FALSE)} \frac{}{\langle \text{if false then } C_1 \text{ else } C_2, s \rangle \rightarrow_c \langle C_2, s \rangle} \\
& \text{(W-COND.BEXP)} \frac{\langle B, s \rangle \rightarrow_c \langle B', s' \rangle}{\langle \text{if } B \text{ then } C_1 \text{ else } C_2, s \rangle \rightarrow_c \langle \text{if } B' \text{ then } C_1 \text{ else } C_2, s' \rangle}
\end{aligned}$$

### 2.2.5 While

$$\text{(W-WHILE)} \frac{}{\langle \text{while } B \text{ do } C, s \rangle \rightarrow_c \langle \text{if } B \text{ then } (C; \text{while } B \text{ do } C) \text{ else } \text{skip}, s \rangle}$$

## 2.3 Properties of $\rightarrow_c$

$\rightarrow_c$  is deterministic and confluent.

**deterministic** : If  $\langle C, S \rangle \rightarrow_c \langle C'_1, S'_1 \rangle$  and  $\langle C, S \rangle \rightarrow_c \langle C'_2, S'_2 \rangle$  then  $\langle C'_1, S'_1 \rangle = \langle C'_2, S'_2 \rangle$

**confluent** : If  $\langle C, S \rangle \rightarrow_c \langle C'_1, S'_1 \rangle$  and  $\langle C, S \rangle \rightarrow_c \langle C'_2, S'_2 \rangle$  then  $\langle C'_1, S'_1 \rangle \rightarrow_c \langle C'_3, S'_3 \rangle$  and  $\langle C'_2, S'_2 \rangle \rightarrow_c \langle C'_3, S'_3 \rangle$

## 2.4 Configurations

**Configuration** A configuration  $\langle \text{skip}, s \rangle$  is an **answer configuration**.

**Normal Forms** A configuration is in normal form if there is no rule for executing its expression. So an *answer configuration* is in normal form.

### 2.4.1 Stuck Configurations

**Stuck Configuration** A configuration  $\langle y, (x \mapsto 3) \rangle$  is a **stuck configuration** because  $y$  cannot be evaluated in the context  $(x \mapsto 3)$ .

A stuck configuration is also in *normal form* since there is no rule for executing the expression.

$\langle (x := y + 1), (x \mapsto 3) \rangle$  is stuck because  $y$  is not defined in the context.

$\langle (x < y), (x \mapsto 3) \rangle$  is *not yet* stuck, but it reduces to a stuck configuration.

### 3 Induction over derivations

#### 3.1 Example: Proving by induction

##### Base case 1

**To show**

$\forall b \in B. [\text{true} \Downarrow b \Rightarrow \text{true} \rightarrow^* b]$

**Proof**

Take  $b \in B$  arb.

|                                         |                                                 |
|-----------------------------------------|-------------------------------------------------|
| $\text{true} \Downarrow b$              | <i>ass</i>                                      |
| $b = \text{true}$                       | <i>by 1, and</i>                                |
| $\text{true} \rightarrow^* \text{true}$ | <i><math>\rightarrow^*</math> is reflective</i> |

##### Base case 2

**To show**

$\forall b \in B. [\text{false} \Downarrow b \Rightarrow \text{false} \rightarrow^* b]$

##### Inductive case 1

Take  $B_1, B_2 \in \text{Bool}$ ,

**Inductive Hypothesis**

- $\forall b \in B. [B_1 \Downarrow b_1 \Rightarrow B_1 \rightarrow^* b_1]$
- $\forall b \in B. [B_2 \Downarrow b_2 \Rightarrow B_2 \rightarrow^* b_2]$

**To show**

$\forall b \in B. [B_1 \& B_2 \Downarrow b \Rightarrow B_1 \& B_2 \rightarrow^* b]$

*...omitted. See Coursework 1 for detailed example.*

## 4 Register Machines

**Register Machine** is specified by

- finite many registers  $R_0, R_1, \dots, R_n$ , each capable of storing a natural number.
- a program consisting of a finite list of instructions of the form  $\text{label} : \text{body}$  where, for  $i = 0, 1, 2, \dots$ , the  $(i + 1)^{\text{th}}$  instruction has label  $L_i$ .

The instruction **body** takes the form:

- $R^+ \rightarrow L'$ : add 1 to contents of register  $R$  and jump to instruction labelled  $L'$ .
- $R^- \rightarrow L', L''$ : if contents of  $R$  is  $> 0$ , then subtract 1 and jump to  $L'$ , else jump to  $L''$ .
- $HALT$  stop executing instructions.

### 4.1 Configurations

A register machine **configuration** has the form:

$$c = (l, r_0 \dots r_n)$$

where  $l$  is current label and  $r_i$  is current content of  $R_i$ .

**Initial configuration** is  $c_0 = (0, r_0 \dots r_n)$

### 4.2 Computations

A **computation** of a RM is a finite or infinite sequence of configurations:

$$c_0, c_1, c_2, \dots$$

where  $c_0$  is an initial configuration, others determine next configurations

#### 4.2.1 Halting Computations

A **halting computation** can either be proper or erroneous.

A **proper halt** is when reaching  $HALT$ . An **erroneous halt** is when reaching an undefined label.

### 4.3 Partial Functions

A **partial function** from set  $X$  to  $Y$  is specified by any subset  $f \subseteq X \times y$  such that

$$(x, y) \in f \wedge (x, y') \in f \Rightarrow y = y'$$

### 4.3.1 Partial Function Notations

- $f(x) = y$  means  $(x, y) \in f$
- $f(x) \downarrow$  means  $\exists y \in Y (f(x) = y)$
- $f(x) \uparrow$  means  $\neg \exists y \in Y (f(x) = y)$
- $X \rightharpoonup Y$  means set of all partial functions from  $X$  to  $Y$
- $X \rightarrow Y$  means set of all total functions from  $X$  to  $Y$

### 4.3.2 Total Functions

A **total function** is a partial function that satisfies  $\forall x \in X. f(x) \downarrow$

## 4.4 Computable functions

The partial function  $f \in \mathbb{N}^n \rightharpoonup \mathbb{N}$  is computable if there is a register machine  $M$  with at least  $n + 1$  registers, such that for all  $(x_1 \dots x_n) \in \mathbb{N}^n$  and  $y \in \mathbb{N}$ :

the computation of  $M$  starts with pairing of  $R_0 = 0, R_1 = x_1, \dots$  and all other registers set to 0, halts with  $R_0 = y$

iff  $f(x_1 \dots x_n) = y$ .

## 4.5 Numerical Codings

### 4.5.1 Numerical Codings of Pairs

$$\begin{aligned}\langle\!\langle x, y \rangle\!\rangle &= 2^x(2 * y + 1) \\ < x, y > &= 2^x(2 * y + 1) - 1\end{aligned}$$

Binary representations:

$$\begin{aligned}0b\langle\!\langle x, y \rangle\!\rangle &= 0by \ 1 \ 0 \dots 0 \\ 0b< x, y > &= 0by \ 0 \ 1 \dots 1\end{aligned}$$

where there are  $x$  number of 0s or 1s.

### 4.5.2 Numerical Coding of Programs

For program  $P$  with  $L_0 \dots L_n$ ,  $\lceil P \rceil \triangleq \lceil \lceil L_0 \rceil, \dots \lceil L_n \rceil \rceil$ .

- $\lceil R_i^+ \rightarrow L_j \rceil \triangleq \langle\langle 2i, j \rangle\rangle$
- $\lceil R_i^- \rightarrow L_j, L_k \rceil \triangleq \langle\langle 2i + 1, \langle j, k \rangle \rangle\rangle$
- $\lceil HALT \rceil \triangleq 0$

### 4.5.3 Definition of Lists

An empty list is  $\square$ .

List is recursively constructed using cons:  $x :: l \in \text{List}\mathbb{N}$  if  $x \in \mathbb{N}$  and  $l \in \text{List}\mathbb{N}$

$$[x_1 \dots x_n] \triangleq x_1 :: (x_2 :: (\dots :: (x_n :: \square)))$$

### 4.5.4 Numerical Coding of Lists

1.  $\lceil \square \rceil \triangleq 0$
2.  $\lceil x :: l \rceil \triangleq \langle\langle x, \lceil l \rceil \rangle\rangle = 2^x(2 \cdot \lceil l \rceil + 1)$

Thus,  $\lceil [x_1 \dots x_n] \rceil = \langle\langle x_1, \langle\langle x_2, \dots \langle\langle x_n, 0 \rangle\rangle \dots \rangle\rangle \rangle$ .

Binary representations:

$$0b\lceil [x_1 \dots x_n] \rceil = (1 \ 0 \dots 0) (1 \ 0 \dots 0) \dots (1 \ 0 \dots 0)$$

where each brackets represents an element, the number of zeros in each element is  $x$ . Note the first pair is  $x_n$ .

### 4.5.5 Decoding List to Programs

Any  $x \in \mathbb{N}$  can be decoded to a body:

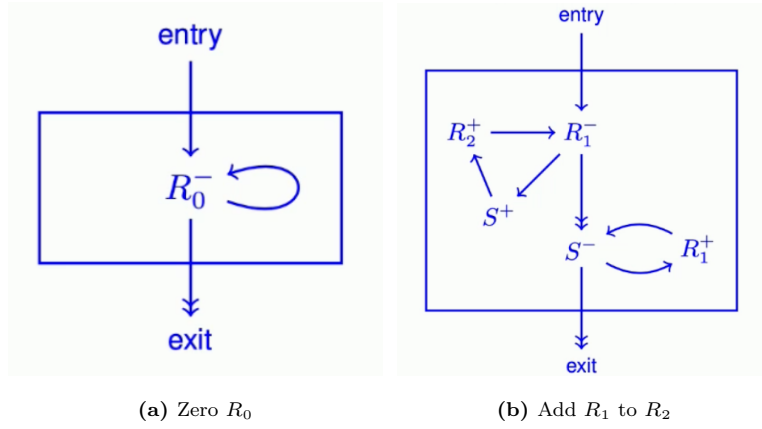
1. If  $x = 0$  then body is HALT.
2. Else let  $x = \langle\langle y, z \rangle\rangle$ :
  - If  $y = 2i$  is even then body is  $R_i^+ \rightarrow L_z$
  - If  $y = 2i + 1$  is odd, let  $z = \langle j, k \rangle$  then body is  $R_i^- \rightarrow L_j, L_k$

## 4.6 Gadgets

A **gadget** is a partial register machine graph(subprogram).

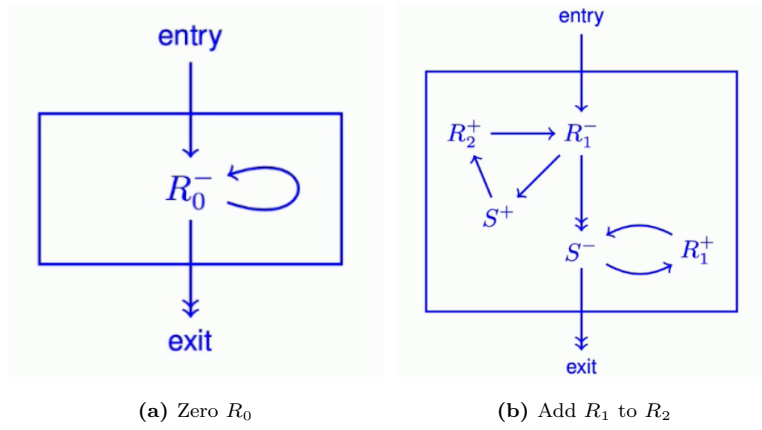
It operates on single input, and may output to multiple registers. It can use auxiliary **scratch registers** for its internal use. It assumes scratch registers are initially set to 0 and must restore it to 0 after use.

### 4.6.1 Examples of Gadgets



**Figure 2:** Examples of Gadgets

### 4.6.2 Usage of Gadgets



**Figure 4:** Usages of Gadgets

## 4.7 Lists

Lists can be represented by recursively shifted numbers.

Given input values  $X = x$ ,  $L = l$  and  $Z = 0$ , the push gadget pushes the value  $x$  into  $l$ . It returns  $X = 0$ ,  $L = \langle\langle x, l \rangle\rangle = 2^x(2l + 1)$ .

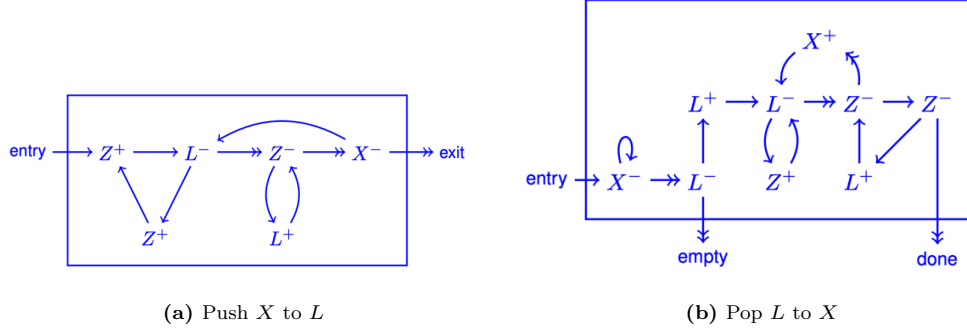


Figure 6: List Push and Pop

## 4.8 Universal Register Machine

## 5 Turing Machines

A **Turing Machine** has a tape and a head which can go left or right on the tape.

It is specified by  $M = (Q, \Sigma, s, \delta)$  where

- $Q$  —finite set of machine states.
- $\Sigma$  —finite set of tape symbols, containing distinguished symbols, *blank*,  $\sqcup$
- $s \in Q$  —initial state
- $\delta \in (Q \times \Sigma) \rightarrow (Q \times \Sigma \times L, R)$

### 5.1 Turing Machine Configurations

Turing Machine **configuration** is  $(q, w, u)$  consisting of:

- $q \in Q$  —current state
- $w \in \Sigma^*$  —finite, possibly-empty string of tape symbols to the left of tape head
- $u \in \Sigma^*$  —finite, possibly-empty string of tape symbols to the right of tape head

An **initial configuration** is  $(s, \epsilon, u)$  for initial state  $s$  and string of tape symbols  $u$ .

A configuration is in **normal form** if it has no computation step.

### 5.2 Turing Machine Computation

#### 5.2.1 first and last

Define first and last as follows:

$$\text{first}(w) = \begin{cases} (a, v) & \text{if } w = av \\ (\sqcup, \epsilon) & \text{if } w = \epsilon \end{cases}$$

Note that  $av$  means  $a$  followed by  $v$  instead of mathematical multiplication. The `first` function retrieves the first symbol of a string, or  $\sqcup$  if string is empty.

$$\text{last}(w) = \begin{cases} (a, v) & \text{if } w = va \\ (\sqcup, \epsilon) & \text{if } w = \epsilon \end{cases}$$

`last` retrieves the last symbol of a string, or  $\sqcup$  if string is empty.

### 5.2.2 Turing Machine Computation

If  $\delta(q, a) = (q', a', L)$ , then the ‘head’ goes left,  $(q, w, u) \rightarrow M(q', w', ba'u')$ .

If  $\delta(q, a) = (q', a', R)$ , then the ‘head’ goes right,  $(q, w, u) \rightarrow M(q', wa', u)$ .

## 6 Lambda Calculus

$$M ::= x \quad \text{Variable} \quad (1)$$

$$| \lambda x. M \quad \text{Abstraction} \quad (2)$$

$$| M_1 M_2 \quad \text{Application} \quad (3)$$

**Contraction** Consecutive abstractions can be contracted:  $\lambda x. \lambda y. \lambda z. \equiv \lambda xyz.$

**closed term** A term is closed if there is no free variable.

### 6.1 Syntax

#### 6.1.1 Free And Bound Variables

- $FV(x) = x$
- $FV(\lambda x. M) = FV(M)$   
 $x$
- $FV(M N) = FV(M) \cup FV(N)$

Examples:

- $\lambda x. x$ :  $x$  is bound
- $\lambda x. y$ :  $y$  is free

- $\lambda x.\lambda y.\lambda z.xy$ :  $x$  and  $y$  are bound
- $FV((\lambda x.(\lambda y.x\ y)y)(\lambda z.z\ x)) = x, y$

### 6.1.2 Association

Application is left-associate. Example:

$$((\lambda x.xy)(\lambda y.xy))(\lambda xy.xyz)$$

### 6.1.3 Alpha Equivalence

$$\lambda xy.x\ y =_{\alpha} \lambda ab.a\ b$$

$M =_{\alpha} N$  iff one can be obtained by renaming variables.

### 6.1.4 Determining Alpha Equivalence

Strategy:

1. Check structure of terms
2. Check free variables match
3. Rename bound variables to check if they match

### 6.1.5 Substitution

$M[N/x]$  means replace free variable  $x$  with  $N$  in  $M$ .

Substitution cannot either change a free variable into bound nor the reverse.

$$x[N/y] = \begin{cases} N & x = y \\ x & x \neq y \end{cases}$$

$$(\lambda x.M)[N/y] = \begin{cases} \lambda x.M & x = y \\ \lambda z.M[z/x][N/y] & x \neq y \end{cases}$$

$$(M_1\ M_2)[N/y] = (M_1[N/y])(M_2[N/y])$$

where  $z$  is not used in  $N$ . i.e.  $z \notin (FV(N) \setminus x)$ ,  $z \notin FV(M)$ ,  $z \neq y$ .

Examples:

- Replace variable:  $x[y/x] \equiv y$

- No matching variable:  $z[y/x] \equiv z$
- Replace variable in applications:  $(x\ y)(y\ z)[y/x] \equiv (y\ y)(y\ z)$
- Replacing free variable without conflict:  $(\lambda z.xz)[y/x] \equiv \lambda z.yz$
- Keep bounded variables bounded:  $(\lambda x.xy)[y/x] \equiv \lambda x.xy$
- Keep free variables free:  $(\lambda y.xy)[y/x] \equiv \lambda z.yz$
- Rename bounded x:  $(\lambda x.xy)[x(\lambda x.xy)/x] \equiv \lambda z.z(x(\lambda x.xy))$

## 6.2 Semantics

Use  $\beta$ -Reduction to compute  $\lambda$ -Calculus.

Small-step rules:

$$\overline{(\lambda x.M)N \rightarrow_{\beta} M[N/x]}$$

$$\frac{M \rightarrow_{\beta} M'}{\lambda x.M \rightarrow_{\beta} \lambda x.M'}$$

$$\frac{M \rightarrow_{\beta} M'}{M\ N \rightarrow_{\beta} M'\ N}$$

$$\frac{N \rightarrow_{\beta} N'}{M\ N \rightarrow_{\beta} M\ N'}$$

$$\frac{M =_{\alpha} M' \quad M' \rightarrow_{\beta} N' \quad N' \rightarrow_{\beta} N}{M \rightarrow_{\beta} N}$$

Note  $\beta$ -Reduction is not deterministic, for  $MN$  you can choose to reduce  $M$  first or  $N$  first.

The last rule ensures two equivalent expressions behave in the same way.

### 6.2.1 Multi-step $\beta$ -reduction

$$\text{Reflexivity, } \alpha\text{-conversion} \quad \frac{M =_{\alpha} M'}{M \rightarrow_{\beta}^* M'}$$

$$\text{Transitivity} \quad \frac{M \rightarrow_{\beta} M'' \quad M'' \rightarrow_{\beta}^* M'}{M \rightarrow_{\beta}^* M'}$$

### 6.2.2 Confluence

**Theorem Church-Rosser**  $\forall M, M_1, M_2. M \rightarrow_{\beta}^* M_1 \wedge M \rightarrow_{\beta}^* M_2 \Rightarrow \exists M'. M_1 \rightarrow_{\beta}^* M' \wedge M_2 \rightarrow_{\beta}^* M'$

Theorem Church-Rosser states if  $M$  reduces to  $M_1$  or  $M_2$ , then  $M_1$  and  $M_2$  both reduces to a  $M'$ .

### 6.2.3 $\beta$ Normal Forms

$\lambda$ -terms are in  $\beta$ -normal form if they contain no redexes(i.e. they cannot be reduced further).

Example:  $(\lambda x.xx)$  is in normal form because it cannot be reduced.

**Uniqueness of  $\beta$ -Normal Forms**  $\forall M, N_1, N_2. M \rightarrow_{\beta}^* N_1 \wedge M \rightarrow_{\beta}^* N_2 \wedge$

Uniqueness of  $\beta$ -Normal Forms states if  $M$  reduces to  $N_1$  or  $N_2$  which are in normal form, then  $N_1 =_{\alpha} N_2$ .

Not all  $\lambda$ -terms have a normal form. Like  $(\lambda x.x x)(\lambda x.x x)$  does not have one.

### 6.2.4 $\beta$ Equivalent

Two  $\alpha$ -terms are  $\beta$ -equivalent( $=_{\beta}$ ) if they are  $=_{\alpha}$  if applied some  $\beta$ -reductions.

### 6.2.5 Innermost and Outermost Redexes

For  $E = (\lambda x.M)N$ :

- Any redex that is in  $M$  or in  $N$  is inside the redex  $E$ .
- Any redex that is in  $M$  or in  $N$  is inside the redex  $E$ .

$((\lambda x y. x y x) t u) \left( \left( \lambda xyz. x ((\lambda x. x x) y) \right) v ((\lambda x. x y) w) \right)$

(leftmost) outermost

(leftmost) innermost

outermost

(rightmost) outermost

## 6.3 Reduction Strategies

**Normal Order** Reduces the leftmost outermost redex first. Always reduces a term to its normal form if exists.

Not used by any programming languages.

**Call By Name** Reduces the leftmost outermost redex first. Does not reduce inside  $\lambda$ -abstractions. Not always reduces a term to its normal form.

Examples: Haskell, R, Latex.

**Call By Value** Reduces the leftmost innermost redex first. Does not reduce inside  $\lambda$ -abstractions. Not always reduces a term to its normal form.

Examples: C, OCaml

$((\lambda x y. x y x) t u) \left( \left( \lambda x y z. x ((\lambda x. x x) y) \right) v ((\lambda x. x y) w) \right)$

**NORMAL ORDER:**    **first**        **second**        **third**        **fourth (twice)**

**CALL-BY-NAME:**    **first**        **second**        **never**        **never**

**CALL-BY-VALUE:**    **first**        **second**        **third**        **never**

## 6.4 $\lambda$ -Definable

A partial function  $f : \mathbb{N}^n \rightarrow \mathbb{N}$  is  **$\lambda$ -definable** iff there exists a closed  $\lambda$ -term  $M$  with:

$$f(x_1, \dots, x_n) = y \text{ iff } M x_1 \dots x_n =_{\beta} y$$

and

$$f(x_1, \dots, x_n) \uparrow \text{ iff } M x_1 \dots x_n \text{ has no normal form}$$

In short,  $M$  reduces to a value iff  $f$  computes to the value.

## 6.5 Church-Turing Thesis

**The Church-Turing Thesis**  $f$  is computable  $\leftrightarrow f$  is  $\lambda$ -definable  $\leftrightarrow f$  is register-machine-computable  
 $\leftrightarrow f$  is Turing-machine-computable

## 6.6 Encoding

### 6.6.1 Encoding Natural Numbers

**Church numeral**  $n \triangleq \lambda f. \lambda x. f(\dots(f(x))\dots)$

A Church numeral  $n$  means “to do something  $n$  times”. Example:

- $0 \triangleq \lambda f. \lambda x. x$
- $1 \triangleq \lambda f. \lambda x. f(x)$
- $2 \triangleq \lambda f. \lambda x. f(f(x))$